

The Second-order correction

$\Psi^{(2)}$ may be expanded as:

$$\Psi^{(2)} = \sum_n a_n^{(2)} \Psi_n^{(0)} \quad \Psi^{(1)} = \sum_n a_n^{(1)} \Psi_n^{(0)}$$

Again we have: $H^{(0)} \Psi_m^{(0)} = E_m^{(0)} \Psi_m^{(0)}$

For the 2nd order solution we have:

$$(\hat{H}^{(0)} - E_m^{(0)}) \Psi^{(2)} = (E^{(1)} - \hat{W}) \Psi^{(1)} + E^{(2)} \Psi_m^{(0)}$$

$$\hat{H}^{(0)} \sum_n a_n^{(2)} \Psi_n^{(0)} - E_m^{(0)} \sum_n a_n^{(2)} \Psi_n^{(0)} = E^{(1)} \sum_n a_n^{(1)} \Psi_n^{(0)} - \hat{W} \sum_n a_n^{(1)} \Psi_n^{(0)} + E^{(2)} \Psi_m^{(0)}$$

$$\sum_n a_n^{(2)} \underbrace{\langle \Psi_k^{(0)} | \hat{H}^{(0)} | \Psi_n^{(0)} \rangle}_{E_n^{(0)} \delta_{kn}} - E_m^{(0)} \sum_n a_n^{(2)} \underbrace{\langle \Psi_k^{(0)} | \Psi_n^{(0)} \rangle}_{\delta_{kn}} =$$

$$E^{(1)} \sum_n a_n^{(1)} \underbrace{\langle \Psi_k^{(0)} | \Psi_n^{(0)} \rangle}_{\delta_{kn}} - \sum_n a_n^{(1)} \underbrace{\langle \Psi_k^{(0)} | \hat{W} | \Psi_n^{(0)} \rangle}_{W_{kn}} + E^{(2)} \underbrace{\langle \Psi_k^{(0)} | \Psi_m^{(0)} \rangle}_{\delta_{km}}$$

$$a_k^{(2)} (E_k^{(0)} - E_m^{(0)}) = a_k^{(1)} E^{(1)} - \sum_n a_n^{(1)} W_{kn} + E^{(2)} \delta_{km}$$

Second-order correction to eigenvalues ($k=m$)

If $k=m \rightarrow 0 = a_m^{(1)} E^{(1)} - \sum_n a_n^{(1)} W_{mn} + E^{(2)} \rightarrow$

$$E^{(2)} = \sum_n a_n^{(1)} W_{mn} - a_m^{(1)} E^{(1)}$$

$$= \sum_{n \neq m} a_n^{(1)} W_{mn} + a_m^{(1)} W_{mm} - a_m^{(1)} E^{(1)}$$

But from 1st order correction, we had: $E^{(1)} = W_{mm} \rightarrow$

$$E^{(2)} = \sum_{n \neq m} a_n^{(1)} W_{mn} \quad \text{but we had: } a_n^{(1)} = \frac{W_{nm}}{E_m^{(0)} - E_n^{(0)}} \Rightarrow$$

$$E^{(2)} = \sum_{n \neq m} \frac{|W_{nm}|^2}{E_m^{(0)} - E_n^{(0)}}$$

$$W_{nm} W_{mn} = W_{nm}^* W_{mn} = |W_{mn}|^2$$

Second-order coefficients $a_k^{(2)}$

There are two cases to consider, $k \neq m$ and $k = m$:

$k \neq m$

$$\text{we had: } a_n^{(1)} = \frac{W_{nm}}{E_m^{(0)} - E_n^{(0)}}$$

$$\text{and } E^{(1)} = W_{mm}$$

$$\text{Substitute into: } a_k^{(2)} (E_k^{(0)} - E_m^{(0)}) = a_k^{(1)} E^{(1)} - \sum_n a_n^{(1)} W_{kn} + E^{(2)} \delta_{mk}$$

$$a_k^{(2)} (E_m^{(0)} - E_k^{(0)}) = -a_k^{(1)} E^{(1)} + \sum_n a_n^{(1)} W_{kn}$$

$$= -\frac{E^{(1)} W_{km}}{E_m^{(0)} - E_k^{(0)}} + \sum_n \frac{W_{nm} W_{kn}}{E_m^{(0)} - E_n^{(0)}}$$

$$a_k^{(2)} = \sum_n \frac{W_{kn} W_{nm}}{(E_m^{(0)} - E_n^{(0)})(E_m^{(0)} - E_k^{(0)})} - \frac{W_{mm} W_{km}}{(E_m^{(0)} - E_k^{(0)})^2} \quad k \neq m$$

For the case $k=m$, we find $a_m^{(2)}$ by normalization:

$$\langle \psi | \psi \rangle = \langle \psi_m^{(0)} + \lambda \psi^{(1)} + \lambda^2 \psi^{(2)} | \psi_m^{(0)} + \lambda \psi^{(1)} + \lambda^2 \psi^{(2)} \rangle = 1$$

$$\begin{aligned}
 & \sum_n a_n^{(1)*} \langle \psi_n^{(0)} | \psi_m^{(0)} \rangle = a_m^{(1)*} \\
 & 1 = \underbrace{\langle \psi_m^{(0)} | \psi_m^{(0)} \rangle}_1 + \lambda \underbrace{\langle \psi^{(1)} | \psi_m^{(0)} \rangle}_{\sum_n \langle a_n^{(1)} \psi_n^{(0)} |} + \lambda \underbrace{\langle \psi_m^{(0)} | \psi^{(1)} \rangle}_{a_m^{(1)}} \\
 & \quad + \lambda^2 \underbrace{\langle \psi^{(1)} | \psi^{(1)} \rangle}_{a_m^{(2)*}} + \lambda^2 \underbrace{\langle \psi^{(2)} | \psi_m^{(0)} \rangle}_{a_m^{(2)}} + \lambda^2 \underbrace{\langle \psi_m^{(0)} | \psi^{(2)} \rangle}_{\text{high order terms}} + \underbrace{\text{higher order terms}}_{\text{ignore}} \\
 & \sum_{n,m} \langle a_n^{(1)} \psi_n^{(0)} | a_m^{(1)} \psi_m^{(0)} \rangle \\
 & = \sum_{n,m} a_n^{(1)*} a_m^{(1)} \underbrace{\langle \psi_n^{(0)} | \psi_m^{(0)} \rangle}_{\delta_{nm}} \\
 & = \sum_n a_n^{(1)*} a_n^{(1)}
 \end{aligned}$$

$$1 = 1 + \lambda a_m^{(1)*} + \lambda a_m^{(1)} + \lambda^2 \sum_n a_n^{(1)*} a_n^{(1)} + \lambda^2 a_m^{(2)*} + \lambda^2 a_m^{(2)}$$

We had $a_m^{(1)} = 0 \Rightarrow$

$$\lambda^2 \sum_n a_n^{(1)*} a_n^{(1)} + 2\lambda^2 a_m^{(2)} = 0 \Rightarrow$$

$$a_m^{(2)} = -\frac{1}{2} \sum_n |a_n^{(1)}|^2$$

$$= -\frac{1}{2} \overbrace{|a_m^{(1)}|^2}^{=0} - \frac{1}{2} \sum_{n \neq m} |a_n^{(1)}|^2$$

Substitute $a_n^{(1)} = \frac{W_{nm}}{E_m^{(0)} - E_n^{(0)}} \Rightarrow$

$$a_m^{(2)} = -\frac{1}{2} \sum_{n \neq m} \frac{|W_{mn}|^2}{(E_m^{(0)} - E_n^{(0)})^2} \quad k=m$$

We can now write down the 2nd order solutions for the eigenvalues & eigenfunctions of the perturbed system:

$$E = E^{(0)} + E^{(1)} + E^{(2)}$$

$$E = E^{(0)} + W_{mm} + \sum_{n \neq m} \frac{|W_{mn}|^2}{E_m^{(0)} - E_n^{(0)}}$$

$$\psi = \psi^{(0)} + \psi^{(1)} + \psi^{(2)}$$

$$\psi = \psi_m^{(0)} + \sum_k a_k^{(1)} \psi_k^{(0)} + \sum_k a_k^{(2)} \psi_k^{(0)}$$

$$\psi = \psi_m^{(0)} + \sum_{k \neq m} \frac{W_{mk}}{E_m^{(0)} - E_k^{(0)}} \psi_k^{(0)}$$

$$+ \sum_{k \neq m} \sum_{n \neq m} \left(\frac{W_{kn} W_{mn}}{(E_m^{(0)} - E_n^{(0)})(E_m^{(0)} - E_k^{(0)})} - \frac{W_{mm} W_{km}}{(E_m^{(0)} - E_k^{(0)})^2} \right) \psi_k^{(0)}$$

$$- \frac{1}{2} \sum_{n \neq m} \frac{|W_{mn}|^2}{(E_m^{(0)} - E_n^{(0)})^2} \psi_m^{(0)}$$

Example 1

Electronic Harmonic Oscillator in Electric Field

$$\vec{E} = |E| \hat{x} \quad \begin{array}{c} \vec{E} \\ \rightarrow \\ \rightarrow x \end{array} \quad \text{U-shaped potential diagram}$$

$$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2} k \hat{x}^2 + \hat{W} \quad \hat{W} = e|E| \hat{x}$$

$$= \frac{\hat{p}^2}{2m} + \frac{1}{2} k \hat{x}^2 + e|E| \hat{x}, \quad k = m\omega^2$$

Energy

$$E = E_n^{(0)} + E_n^{(1)} + E_n^{(2)}$$

$$E_n^{(0)} = \hbar\omega(n + \frac{1}{2})$$

$$E_n^{(1)} = W_{mm}$$

$$E_n^{(2)} = \sum_{n \neq m} \frac{|W_{mn}|^2}{E_m - E_n}$$

$$x = \left(\frac{\hbar}{2m\omega}\right)^{1/2} (a^\dagger + a)$$

$$W_{mn} = \langle m | \hat{W} | n \rangle = \langle m | (-e|E| \hat{x}) | n \rangle = -e|E| \langle m | \hat{x} | n \rangle$$

$$= -e|E| \left(\frac{\hbar}{2m\omega}\right)^{1/2} \left[\langle m | \hat{a}^\dagger | n \rangle + \langle m | \hat{a} | n \rangle \right]$$

$$\begin{array}{cc} \swarrow & \searrow \\ \sqrt{n+1} \langle m | n+1 \rangle & \sqrt{n} \langle m | n-1 \rangle \end{array}$$

$$\begin{array}{cc} \sqrt{n+1} \delta_{n=m-1} & \sqrt{n} \delta_{n=m+1} \end{array}$$

$$= -e|E| \left(\frac{\hbar}{2m\omega}\right)^{1/2} \left(\sqrt{m} \delta_{n,m-1} + \sqrt{m+1} \delta_{n,m+1} \right)$$

$$E_n^{(1)} = W_{mm} = 0 \quad \text{No first order correction}$$

$$\begin{aligned}
 E^{(2)} &= \sum_{n \neq m} \frac{|W_{mn}|^2}{E_m - E_n} = \frac{e^2 |E|^2 \hbar}{2m\omega} \sum_{n \neq m} \frac{1}{E_m - E_n} \left(\sqrt{m} \delta_{n,m-1} + \sqrt{m+1} \delta_{n,m+1} \right)^2 \\
 &= \frac{e^2 |E|^2 \hbar}{2m\omega} \left(\frac{(\sqrt{m})^2}{\underbrace{E_m - E_{m-1}}_{\hbar\omega}} + \frac{(\sqrt{m+1})^2}{\underbrace{E_m - E_{m+1}}_{-\hbar\omega}} \right) \\
 &= \frac{e^2 |E|^2}{2m\omega^2} (m - m - 1) \\
 &= - \frac{e^2 |E|^2}{\underbrace{2m\omega^2}_k} \\
 &\Rightarrow E = E^{(0)} + E^{(1)} + E^{(2)}
 \end{aligned}$$

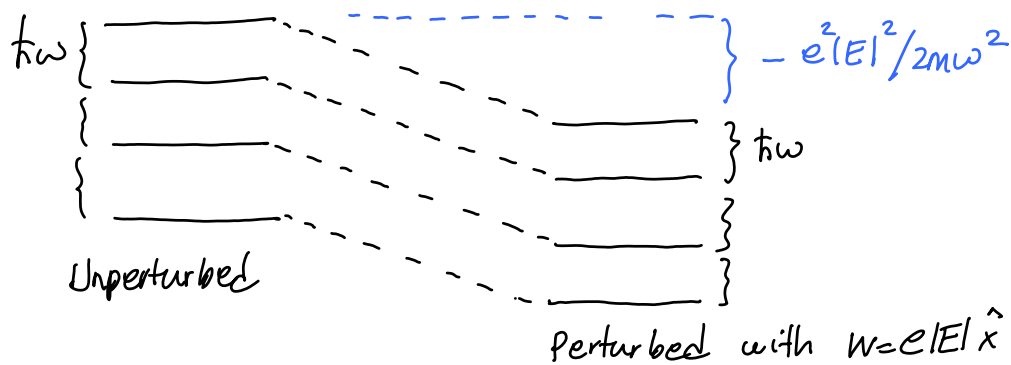
$$E = \hbar\omega \left(n + \frac{1}{2} \right) - \frac{e^2 |E|^2}{2k}$$

In chapter 6, the exact solution for this problem is given. Interestingly, our second order approximation is equal to the exact answer.

Physically, the particle oscillates at the same frequency ω as the unperturbed case, but it is displaced a distance:

$$kx = e|E| \Rightarrow x = \frac{e|E|}{k} = \frac{e|E|}{m\omega^2}$$

and the new energy levels are shifted by $-\frac{e^2 |E|^2}{2m\omega^2}$.



Example 2 Harmonic Oscillator in perturbing potential in x^2

$$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2} k \hat{x}^2 + \underbrace{\frac{1}{2} k \zeta \hat{x}^2}_{\hat{W}}$$

Perturbation is a change in the spring constant.

$$\begin{aligned}\hat{H} &= \frac{\hat{p}^2}{2m} + \frac{1}{2} m \omega^2 x^2 + \frac{1}{2} m \omega^2 \zeta x^2 = \frac{\hat{p}^2}{2m} + \frac{1}{2} m \overbrace{\omega^2 (1+\zeta)}^{\equiv \omega'^2} x^2 \\ &= \frac{\hat{p}^2}{2m} + \frac{1}{2} m \omega'^2 x^2 \quad \text{This is another HO. So:}\end{aligned}$$

$$E_n = \hbar \omega' \left(n + \frac{1}{2}\right) = \left(n + \frac{1}{2}\right) \hbar \omega (1+\zeta)^{1/2}$$

$$\text{Using: } (1+x)^n = 1 + nx + n(n-1) \frac{x^2}{2!} + \dots \Rightarrow$$

$$E_n = \left(n + \frac{1}{2}\right) \hbar \omega \left(1 + \frac{\zeta}{2} - \frac{\zeta^2}{8} + \dots\right)$$

Let's check the result from the perturbation theory:

$$W = \frac{1}{2} k \zeta \hat{x}^2$$

$$= \frac{k}{2} \zeta \frac{\hbar}{2m\omega} (\hat{a}^\dagger + \hat{a})^2 = \frac{m\omega^2}{2} \zeta \frac{\hbar}{2m\omega} (\hat{a}^\dagger + \hat{a})^2$$

$$= \frac{\zeta}{4} \hbar \omega (\hat{a}^{\dagger 2} + \hat{a}^2 + \underbrace{\hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a}}_{= \hat{a}^\dagger\hat{a} + 1}) = \frac{\zeta}{4} \hbar \omega (\hat{a}^{\dagger 2} + \hat{a}^2 + 2\hat{a}^\dagger\hat{a} + 1)$$

$$W_{mn} = \langle m | \hat{W} | n \rangle$$

$$= \frac{\zeta}{4} \hbar \omega \left[\langle m | \hat{a}^{\dagger 2} | n \rangle + \langle m | \hat{a}^2 | n \rangle + 2 \langle m | \hat{a}^\dagger \hat{a} | n \rangle + \langle m | n \rangle \right]$$

$$= \frac{\zeta \hbar \omega}{4} \left[\sqrt{n+1} \langle m | \hat{a}^\dagger | n+1 \rangle + \sqrt{n} \langle m | \hat{a} | n-1 \rangle + 2\sqrt{n} \langle m | \hat{a}^\dagger | n-1 \rangle + \delta_{nm} \right]$$

$$= \frac{3\hbar\omega}{4} \left[\sqrt{(n+1)(n+2)} \langle m|n+2\rangle + \sqrt{n(n-1)} \langle m|n-2\rangle + 2n \langle m|n\rangle + \delta_{n,m} \right]$$

$$= \frac{3\hbar\omega}{4} \left(\sqrt{(n+1)(n+2)} \delta_{n,m-2} + \sqrt{n(n-1)} \delta_{n,m+2} + 2n \delta_{n,m} + \delta_{n,m} \right)$$

$$= \frac{3\hbar\omega}{4} \left(\sqrt{m(m-1)} \delta_{n,m-2} + \sqrt{(m+1)(m+2)} \delta_{n,m+2} + (2m+1) \delta_{n,m} \right)$$

$$E = E^{(0)} + E^{(1)} + E^{(2)}$$

$$= \hbar\omega \left(m + \frac{1}{2} \right) + W_{mm} + \sum_{n \neq m} \frac{|W_{mn}|^2}{E_m - E_n}$$

$$= \hbar\omega \left(m + \frac{1}{2} \right) + \frac{3\hbar\omega}{4} (2m+1) + \left(\frac{3\hbar\omega}{4} \right)^2 \left[\frac{m(m-1)}{E_m - E_{m-2}} + \frac{(m+1)(m+2)}{E_m - E_{m+2}} \right]$$

$\underbrace{E_m - E_{m-2}}_{2\hbar\omega} \qquad \underbrace{E_m - E_{m+2}}_{-2\hbar\omega}$

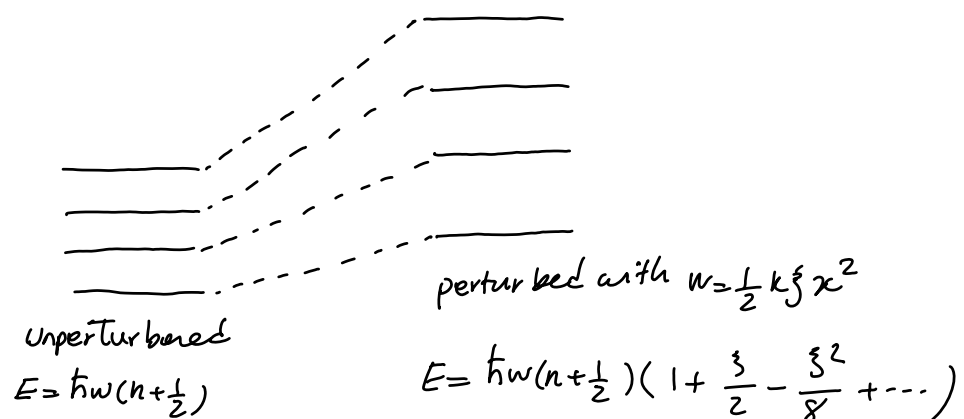
$$= \hbar\omega \left(m + \frac{1}{2} \right) + \hbar\omega \frac{3}{2} \left(m + \frac{1}{2} \right) + \hbar\omega \frac{3^2}{32} \left(\underbrace{m^2 - m - m^2 - 3m - 2}_{-4m - 2} \right)$$

$= -4m - 2 = -4 \left(m + \frac{1}{2} \right)$

$$= \hbar\omega \left(m + \frac{1}{2} \right) \left(1 + \frac{3}{2} - \frac{3^2}{8} \right)$$

which agrees with our earlier solution to the 2nd order.

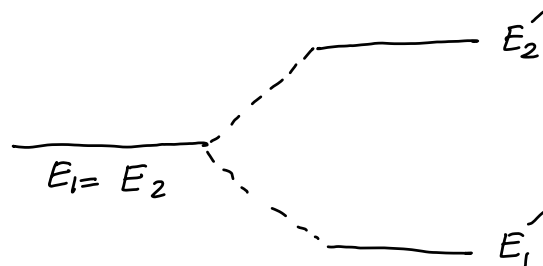
Both energy levels & energy spacings increase in this case:



Time independent degenerate perturbation

Symmetry \longrightarrow degenerate eigenvalues (energy levels)

Perturbation \longrightarrow Break the symmetry \longrightarrow splits the energy levels



Before perturbation After

$$\hat{H}^{(0)}$$

$$\hat{H} = \hat{H}^{(0)} + \hat{W}$$

$$\hat{H} \Psi_m^{(0)} = E_m^{(0)} \Psi_m^{(0)}$$

$$\hat{H} \Psi_n = E_n \Psi_n$$

Two-fold degeneracy split by time independent perturbation

The first order corrected wave function $\Psi_m^{(1)}$ expanded versus

the unperturbed $\Psi_n^{(0)}$'s is:

$$\Psi_m^{(1)} = \sum_n a_{mn}^{(1)} \Psi_n^{(0)}$$

$$a_{mn}^{(1)} = \frac{W_{mn}}{E_m^{(0)} - E_n^{(0)}}$$

If we have degeneracy: $E_m^{(0)} = E_n^{(0)}$ at some states $\Rightarrow a_{mn} = \infty$

To get around this problem, we will diagonalize \hat{H} .

Matrix method

$$H\psi^{(1)} = E\psi^{(1)} \rightarrow H\sum_n a_n \psi_n^{(0)} = E\sum_n a_n \psi_n^{(0)}$$

$$H\sum_n a_n |n\rangle = E\sum_n a_n |n\rangle$$

$$\langle m | H \sum_n a_n |n\rangle = E \sum_n a_n \langle m | n \rangle$$

$$\sum_n \langle m | H | n \rangle a_n = E a_m$$

$$\sum_n H_{mn} a_n = E a_m$$

$$\underbrace{\begin{bmatrix} H_{11} & H_{12} & H_{13} & \dots \\ H_{21} & H_{22} & H_{23} & \\ H_{31} & H_{32} & H_{33} & \\ \vdots & & & \ddots \\ & & & & H_{NN} \end{bmatrix}}_{\mathbf{H}} \underbrace{\begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ \vdots \\ a_N \end{bmatrix}}_{\mathbf{a}} = E \underbrace{\begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ \vdots \\ a_N \end{bmatrix}}_{\mathbf{a}}$$

Matrix vector
↓ ↓
 $\mathbf{H}\mathbf{a} = E\mathbf{a}$

$$\mathbf{H}\mathbf{a} - E\mathbf{a} = 0 \Rightarrow (\mathbf{H} - E\mathbf{I})\mathbf{a} = 0$$

Which has a nontrivial solution if the characteristic determinant is zero:

$$|\mathbf{H} - E\mathbf{I}| = \begin{vmatrix} H_{11} - E & H_{12} & H_{13} & \dots \\ H_{21} & H_{22} - E & & \\ \vdots & & H_{33} - E & \\ \vdots & & & \ddots \\ & & & & H_{NN} - E \end{vmatrix} = 0$$

Matrix method for two state:

$$\begin{vmatrix} H_{11}-E & H_{12} \\ H_{21} & H_{22}-E \end{vmatrix} = 0$$

$$(H_{11}-E)(H_{22}-E) - H_{12}H_{21} = 0$$

$$E^2 - EH_{22} - EH_{11} + H_{11}H_{22} - H_{12}H_{21} = 0$$

$$E^2 - (H_{22}+H_{11})E + H_{11}H_{22} - H_{12}H_{21} = 0$$

$$E = \frac{(H_{11}+H_{22}) \pm \left[(H_{11}+H_{22})^2 - 4(H_{11}H_{22} - H_{12}H_{21}) \right]^{1/2}}{2}$$

$$= \frac{H_{11}+H_{22}}{2} \pm \frac{1}{2} \left[\underbrace{H_{11}^2 + H_{22}^2 + 2H_{11}H_{22} - 4H_{11}H_{22} + 4H_{12}H_{21}}_{(H_{11}-H_{22})^2} \right]^{1/2}$$

$$= \frac{H_{11}+H_{22}}{2} \pm \left[\frac{(H_{11}-H_{22})^2}{4} + H_{12}H_{21} \right]^{1/2}$$

\downarrow
 $= H_{12}^*$

$$E_{\pm} = \frac{H_{11}+H_{22}}{2} \pm \left[\frac{(H_{11}-H_{22})^2}{4} + |H_{12}|^2 \right]^{1/2}$$

Let's now calculate a_1 and a_2 . We had:

$$\begin{bmatrix} H_{11}-E & H_{21} \\ H_{21} & H_{22}-E \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} = 0 \rightarrow \begin{cases} (H_{11}-E)a_1 + H_{21}a_2 = 0 & \textcircled{1} \\ H_{21}a_1 + (H_{22}-E)a_2 = 0 & \textcircled{2} \end{cases}$$

Ψ must be also normalized: $\Psi = a_1 \Psi_1^{(0)} + a_2 \Psi_2^{(0)}$

$$\int |\Psi|^2 = 1 \Rightarrow |a_1|^2 + |a_2|^2 = 1$$

$$\textcircled{1} \Rightarrow (H_{11} - E)a_1 = -H_{12}a_2 \rightarrow (H_{11} - E)^2 |a_1|^2 = H_{12}^2 |a_2|^2 \rightarrow$$

$$\downarrow$$

$$= 1 - |a_1|^2$$

$$(H_{11} - E)^2 |a_1|^2 = H_{12}^2 (1 - |a_1|^2) \rightarrow [(H_{11} - E)^2 + H_{12}^2] |a_1|^2 = H_{12}^2 \rightarrow$$

$$|a_1|^2 = \frac{|H_{12}|^2}{\underbrace{(H_{11} - E)^2 + |H_{12}|^2}_{\equiv D^2}} = \frac{|H_{12}|^2}{D^2} \rightarrow \boxed{a_1 = \frac{H_{12}}{D}}$$

For a_2 , use $\textcircled{1}$ again:

$$a_2 = \frac{-(H_{11} - E)a_1}{H_{12}} = \frac{-(H_{11} - E)}{H_{12}} \frac{H_{12}}{D} = \frac{E - H_{11}}{D}$$

$$\boxed{a_2 = \frac{E - H_{11}}{D}}$$

So for each E , we find the corresponding a_1, a_2 that gives the corresponding Ψ .

Example 2D HO subject to perturbation in xy

$$\hat{H}^{(0)} = \frac{p_x^2 + p_y^2}{2m} + \frac{1}{2}k(x^2 + y^2)$$

$$= \hbar\omega \left(a_x^\dagger a_x + \frac{1}{2} + a_y^\dagger a_y + \frac{1}{2} \right)$$

$$= \hbar\omega (a_x^\dagger a_x + a_y^\dagger a_y + 1)$$

$$\text{where } x = \left(\frac{\hbar}{2m\omega} \right)^{1/2} (a_x + a_x^\dagger) \text{ \& } y = \left(\frac{\hbar}{2m\omega} \right)^{1/2} (a_y + a_y^\dagger)$$

The eigenstates are of the form:

$$\varphi_{nm} = \varphi_n(x) \varphi_m(y) = |nm\rangle$$

\nearrow for x \nwarrow for y

And the energy:

$$E_{nm} = \hbar\omega(n+m+1)$$

$$E_{00} = \hbar\omega$$

$$E_{01} = E_{10} = 2\hbar\omega \quad \text{2-fold degenerate states: } |01\rangle \text{ and } |10\rangle$$

$$E_{11} = E_{20} = E_{02} = 3\hbar\omega \quad \text{3-fold degenerate: } |11\rangle, |20\rangle, |02\rangle$$

$$E_{nm} \rightarrow (n+m+1)\text{-fold degenerate.}$$

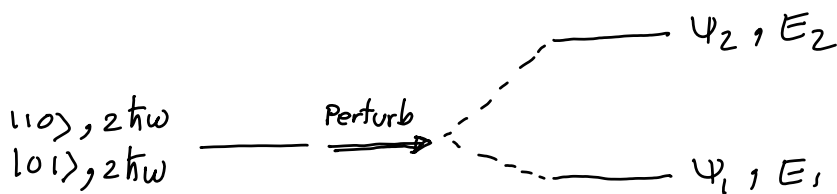
Let study the effect of the perturbing potential $\hat{W} = \kappa \hat{x} \hat{y}$:

We will find new wave functions that diagonalize \hat{W} .

Let's consider the second energy level that is two-fold

degenerate. The perturbed eigenfunction can be expanded

versus the degenerate states:



$$\begin{cases} \psi_1 = a_1 \varphi_{10} + a_2 \varphi_{01} \\ \psi_2 = a_1 \varphi_{10} - a_2 \varphi_{01} \end{cases}$$

Sub-matrix W in the basis of $\{\varphi_{10}, \varphi_{01}\}$ is:

$$W = \begin{bmatrix} W_{11} & W_{12} \\ W_{21} & W_{22} \end{bmatrix} = \kappa \begin{bmatrix} \langle 10 | xy | 10 \rangle & \langle 10 | xy | 01 \rangle \\ \langle 01 | xy | 10 \rangle & \langle 01 | xy | 01 \rangle \end{bmatrix}$$

$$W_{11} = W_{22} = 0$$

$$W_{12} = \kappa \frac{\hbar}{2m\omega} \langle 10 | (a_x + a_x^\dagger)(a_y + a_y^\dagger) | 01 \rangle$$

$$= \frac{\kappa \hbar}{2m\omega} \langle 10 | a_x a_y + a_x^\dagger a_y + a_x a_y + a_x a_y^\dagger | 01 \rangle$$

$\downarrow \quad \downarrow \quad \downarrow \quad \downarrow$
 $\Rightarrow 0 \quad \checkmark \quad \Rightarrow 0 \quad \text{gives } 0$

$$= \frac{\kappa \hbar}{2m\omega} \langle 10 | \underbrace{a_x^\dagger a_y}_{|11\rangle} | 01 \rangle = \frac{\kappa \hbar}{2m\omega} \langle 10 | 10 \rangle$$

$$= \frac{\kappa \hbar}{2m\omega}$$

Similarly: $W_{21} = \frac{\kappa \hbar}{2m\omega} \Rightarrow W = \frac{\hbar \kappa}{2m\omega} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$

The secular equation is:

$$\begin{vmatrix} W_{11} - E^{(1)} & W_{12} \\ W_{21} & W_{22} - E^{(1)} \end{vmatrix} = 0 \rightarrow \begin{vmatrix} -E^{(1)} & \frac{\hbar \kappa}{2m\omega} \\ \frac{\hbar \kappa}{2m\omega} & -E^{(1)} \end{vmatrix} = 0$$

$$E^{(1)2} - \left(\frac{\hbar \kappa}{2m\omega}\right)^2 = 0 \rightarrow E^{(1)} = \pm \frac{\hbar \kappa}{2m\omega}$$

$E_{10} = 2\hbar\omega$ $\xrightarrow{W = \kappa xy}$ $\begin{array}{c} \text{---} \\ \uparrow \Delta E \\ \text{---} \\ \downarrow \Delta E \\ \text{---} \end{array}$

$$E_+ = E_{10} + \frac{\hbar \kappa}{2m\omega} = E_{10} + \frac{\Delta E}{2}$$

$$E_- = E_{10} - \frac{\hbar \kappa}{2m\omega} = E_{10} - \frac{\Delta E}{2}$$

Now let's find the wavefunctions:

Substitute these values into the matrix equation:

$$\begin{bmatrix} W_{11} & W_{12} \\ W_{21} & W_{22} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} = E \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} \quad \sum_{m=1, n=1}^N [H_{nm} - E\delta_{nm}] a_n = 0$$

$$\begin{pmatrix} -E & \frac{\Delta E}{2} \\ \frac{\Delta E}{2} & -E \end{pmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} = 0$$

$$\begin{vmatrix} -E & \frac{\Delta E}{2} \\ \frac{\Delta E}{2} & -E \end{vmatrix} = 0 \Rightarrow E^2 = \left(\frac{\Delta E}{2}\right)^2 \rightarrow E = \pm \frac{\Delta E}{2}$$

$$E = \frac{\Delta E}{2} \rightarrow a_1 = a_2 \quad |a_1|^2 + |a_2|^2 = 1 \rightarrow a_1 = a_2 = \frac{1}{\sqrt{2}}$$

$$E = -\frac{\Delta E}{2} \rightarrow a_1 = -a_2 \rightarrow a_1 = -a_2 = \frac{1}{\sqrt{2}}$$

$$\left\{ \begin{array}{l} \Psi_+ = a_1 \varphi_{10} + a_2 \varphi_{01} = \frac{1}{\sqrt{2}} (\varphi_{10} + \varphi_{01}) \\ E_+ = \frac{\Delta E}{2} \end{array} \right. \quad \underline{\text{Symmetric}}$$

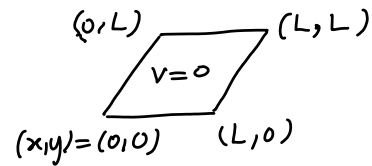
$$\left\{ \begin{array}{l} \Psi_- = \frac{1}{\sqrt{2}} (\varphi_{10} - \varphi_{01}) \\ E_- = -\frac{\Delta E}{2} \end{array} \right. \quad \underline{\text{Antisymmetric}}$$

Perturbation of two-dimensional potential with infinite barrier energy

$$H^{(0)} \Psi_n^{(0)}(x,y) = E_n^{(0)} \Psi_n^{(0)}(x,y)$$

↓

$$H^{(0)} = -\frac{\hbar^2}{2m} \left(\frac{d^2}{dx^2} + \frac{d^2}{dy^2} \right)$$



$$\Psi_n^{(0)}(x,y) = \varphi_{n_x}(x) \varphi_{n_y}(y) = \frac{2}{L} \sin(k_{n_x} x) \sin(k_{n_y} y)$$

$$E_{n_x, n_y}^{(0)} = \frac{\hbar^2 k^2}{2mL^2} (n_x^2 + n_y^2)$$

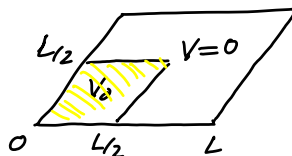
The ground state is not degenerate $\Psi_{11}^{(0)}$

The first excited state is degenerate $\Psi_{12}^{(0)}$ or $\Psi_{21}^{(0)} \rightarrow E_{12}^{(0)} = \frac{5\pi^2 \hbar^2}{2mL^2}$

$$\sum_{m=1}^N \sum_{n=1}^N [H_{nm}^{(0)} - E \delta_{nm}] a_n^{(0)} = \begin{bmatrix} H_{11}^{(0)} - E & H_{12}^{(0)} & H_{13}^{(0)} & \vdots \\ H_{21}^{(0)} & H_{22}^{(0)} - E & H_{23}^{(0)} & \vdots \\ \vdots & \dots & \vdots & \vdots \\ \vdots & \dots & H_{NN}^{(0)} - E & \vdots \end{bmatrix} \begin{bmatrix} a_1^{(0)} \\ a_2^{(0)} \\ \vdots \\ a_N^{(0)} \end{bmatrix} = 0$$

$$= \begin{bmatrix} -E & 0 & 0 & \dots \\ 0 & -E & 0 & \dots \\ 0 & 0 & -E & \dots \\ \vdots & \ddots & \vdots & -E \end{bmatrix} \begin{bmatrix} a_1^{(0)} \\ a_2^{(0)} \\ \vdots \\ a_N^{(0)} \end{bmatrix} = 0$$

Perturbation :



The correction to ground state energy after the perturbation is applied, to first order is given by the diagonal matrix element of nondegenerate perturbation theory:

$$\begin{aligned}
 E_{11}^{(1)} &= \langle \Psi_{11}^{(0)} | \hat{W} | \Psi_{11}^{(0)} \rangle \\
 &= \left(\frac{2}{L}\right)^2 V_0 \int_0^{L/2} \sin^2\left(\frac{\pi x}{L}\right) dx \int_0^{L/2} \sin^2\left(\frac{\pi y}{L}\right) dy \\
 &= \left(\frac{2}{L}\right)^2 V_0 \left(\frac{L}{4}\right)^2 = \frac{V_0}{4}
 \end{aligned}$$

The first excited state is degenerate, so we must use degenerate perturbation:

$$\begin{pmatrix} W_{11} & W_{12} \\ W_{21} & W_{22} \end{pmatrix} = \begin{pmatrix} \langle \Psi_{12}^{(0)} | \hat{W} | \Psi_{12}^{(0)} \rangle & \langle \Psi_{12}^{(0)} | \hat{W} | \Psi_{21}^{(0)} \rangle \\ \langle \Psi_{21}^{(0)} | \hat{W} | \Psi_{12}^{(0)} \rangle & \langle \Psi_{21}^{(0)} | \hat{W} | \Psi_{21}^{(0)} \rangle \end{pmatrix}$$

$$\begin{aligned}
 W_{11} = W_{22} &= \langle \Psi_{12}^{(0)} | \hat{W} | \Psi_{12}^{(0)} \rangle \\
 &= \left(\frac{2}{L}\right)^2 V_0 \int_0^{L/2} \sin^2\left(\frac{\pi x}{L}\right) dx \int_0^{L/2} \sin^2\left(\frac{2\pi y}{L}\right) dy \\
 &= \left(\frac{2}{L}\right)^2 V_0 \left(\frac{L}{4}\right)^2 = \frac{V_0}{4}
 \end{aligned}$$

$$\begin{aligned}
 W_{12} = W_{21} &= \langle \Psi_{12}^{(0)} | \hat{W} | \Psi_{21}^{(0)} \rangle \\
 &= \left(\frac{2}{L}\right)^2 V_0 \int_0^{L/2} \sin\left(\frac{\pi x}{L}\right) \sin\left(\frac{2\pi x}{L}\right) dx \int_0^{L/2} \sin\frac{2\pi y}{L} \sin\frac{\pi y}{L} dy
 \end{aligned}$$

$$= \frac{V_0}{L^2} \int_0^{L/2} \left[\cos\left(\frac{-\pi x}{L}\right) - \cos\left(\frac{3\pi x}{L}\right) \right] dx \int_0^{L/2} \left[\cos\left(\frac{\pi y}{L}\right) - \cos\left(\frac{3\pi y}{L}\right) \right] dy$$

$$= \frac{V_0}{L^2} \left(\frac{L}{\pi} + \frac{L}{3\pi} \right) \left(\frac{L}{\pi} + \frac{L}{3\pi} \right) = \frac{V_0}{L^2} \left(\frac{4L}{3\pi} \right) \left(\frac{4L}{3\pi} \right) = \frac{16V_0}{9\pi^2}$$

$$\Rightarrow \begin{bmatrix} W_{11} & W_{12} \\ W_{21} & W_{22} \end{bmatrix} = \frac{V_0}{4} \begin{bmatrix} 1 & \frac{64}{9\pi^2} \\ \frac{64}{9\pi^2} & 1 \end{bmatrix}$$

$$\begin{bmatrix} W_{11}-E & W_{12} \\ W_{21} & W_{22}-E \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} = 0 \rightarrow \begin{bmatrix} \frac{V_0}{4}-E & \frac{V_0}{4} \frac{64}{9\pi^2} \\ \frac{V_0}{4} \frac{64}{9\pi^2} & \frac{V_0}{4}-E \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} = 0$$

$$\frac{V_0}{4} \begin{bmatrix} 1 - \frac{4E}{V_0} & \frac{64}{9\pi^2} \\ \frac{64}{9\pi^2} & 1 - \frac{4E}{V_0} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} = 0$$

$\underbrace{\frac{64}{9\pi^2}}_{\equiv \Delta} \qquad \underbrace{1 - \frac{4E}{V_0}}_{E'}$

$$\det(W-EI) = 0 \rightarrow (1-E')^2 - \Delta^2 = E'^2 - 2E' + (1-\Delta) = 0 \rightarrow$$

$$E' = 1 \pm \sqrt{1 - (1-\Delta)} = 1 \pm \Delta \rightarrow E = \frac{V_0}{4} E' = \frac{V_0}{4} \left(1 \pm \frac{64}{9\pi^2} \right)$$

This is the correction term $E^{(1)}$. \Rightarrow

$$E = E^{(0)} + E^{(1)} = E_{12}^{(0)} + \frac{V_0}{4} \left(1 \pm \frac{64}{9\pi^2} \right)$$

$$\left\{ \begin{array}{l} E_+ = E_{12}^{(0)} + \frac{V_0}{4} \left(1 + \frac{64}{9\pi^2} \right), \quad \Psi_+ = \frac{1}{\sqrt{2}} (\Psi_{12}^{(0)} + \Psi_{21}^{(0)}) \\ E_- = E_{12}^{(0)} + \frac{V_0}{4} \left(1 - \frac{64}{9\pi^2} \right), \quad \Psi_- = \frac{1}{\sqrt{2}} (\Psi_{12}^{(0)} - \Psi_{21}^{(0)}) \end{array} \right.$$